

**NEW UTILITY PATENT
APPLICATION TRANSMITTAL**

(to be used for new applications only)

Attorney Docket Number

QSTR-01.0

First Named Inventor

Nomura

Total Pages in this Submission

32

PTO
S. N. 9461
09/28/15

12/02/00

APPLICATION ELEMENTS

Notice: Checklist items mentioned under Application Elements section construct a new utility patent application. Please refer to MPEP Sections 506, 601, (37CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.

1. ☒ Fee Transmittal Form (prescribed filing fee(s))

2. Specification

☒ Title of the Invention☐ Cross References to Related Applications
(if applicable)☐ Statement Regarding Federally-sponsored
Research/Development (if applicable)☐ Reference to Microfiche Appendix
(if applicable)☒ Background of the Invention☒ Brief Summary of the Invention☐ Brief Description of the Drawings
(if drawings filed)☒ Detailed Description☒ Claim or Claims☒ Abstract of the Disclosure3. ☐ Drawing(s) (when necessary as prescribed by
35 USC 113)4. ☒ Executed Declaration5. Genetic Sequence Submission
(if applicable, all must be included)☐ Paper Copy☐ Computer Readable Copy☐ Statement Verifying Identical Paper and
Computer Readable Copy**ACCOMPANYING APPLICATION PARTS**6. ☐ Assignment Papers7. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)8. ☐ Computer Program in Microfiche9. ☐ English Translation Document (if applicable)10. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS
Citations11. ☐ Petition Checklist and Accompanying Petition12. ☐ Preliminary Amendment13. ☐ Proprietary Information14. ☒ Return Receipt Postcard15. ☒ Small Entity Statement16. ☐ Additional Enclosures (please identify below):**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm
or
Individual name

Robert J. Petersen

Signature

Robert J. Petersen

Date

02 Dec 2000

FOR OFFICIAL USE ONLY

| | | | | | |
|--------------------|------------------|-------------------------|--|--------------------|--|
| Application Number | | Class | | Independent Claims | |
| Date of Receipt | Application Type | GAU | | Total Claims | |
| | Filing Date | Foreign Filing License? | | Drawing Sheets | |
| | Small Entity | Foreign Address? | | Special Handling? | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

12/02/00
10961 U.S. PTO

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)
355.00

Complete if Known

Application Number
Filing Date
First Named Inventor
Nomura
Examiner Name
Group / Art Unit
Attorney Docket No.
QSTR-01.0

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account Number
Deposit Account Name
☐ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|-------------|
| 101 790 | 201 395 | Utility filing fee | 355 |
| 106 330 | 206 165 | Design filing fee | |
| 107 540 | 207 270 | Plant filing fee | |
| 108 790 | 208 395 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) 355 |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** | X | 0 |
| Multiple Dependent | -3** | X | 0 |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|-----------|
| 103 22 | 203 11 | Claims in excess of 20 | |
| 102 82 | 202 41 | Independent claims in excess of 3 | |
| 104 270 | 204 135 | Multiple dependent claim, if not paid | |
| 109 82 | 209 41 | ** Reissue independent claims over original patent | |
| 110 22 | 210 11 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | (\$) 0 |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 400 | 216 200 | Extension for reply within second month | |
| 117 950 | 217 475 | Extension for reply within third month | |
| 118 1,510 | 218 755 | Extension for reply within fourth month | |
| 128 2,060 | 228 1,030 | Extension for reply within fifth month | |
| 119 310 | 219 155 | Notice of Appeal | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 270 | 221 135 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,320 | 241 660 | Petition to revive - unintentional | |
| 142 1,320 | 242 660 | Utility issue fee (or reissue) | |
| 143 450 | 243 225 | Design issue fee | |
| 144 670 | 244 335 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Petitions related to provisional applications | |
| 126 240 | 126 240 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 790 | 246 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 790 | 249 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
0

SUBMITTED BY

Typed or Printed Name
Robert J. Petersen

Signature
Robert J. Petersen

Date
12/02/00

Complete (if applicable)

Reg. Number
35,318

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.